

**Stratified shear flow : from Rayleigh's theorem to Richardson's criterion (exam 2019)**

We consider a stratified shear flow of velocity profile  $U(z)\mathbf{e}_x$  and temperature profile  $T(z)$  between two plates in  $z = z_a$  and  $z = z_b$ , with  $z_a < z_b$ . We neglect the dependence along  $y$ , the transverse direction. We neglect viscous and thermal diffusion effects. The thermal expansion coefficient  $\beta > 0$  connects the density variation with the temperature profile

$$\rho = \rho_0 - \beta(T - T_0)$$

We consider normal modes of the form  $\exp(i(kx - \omega t))$ . We denote the velocity components along  $x$  by  $U + \epsilon u$ , along  $z$  by  $0 + \epsilon v$ , the temperature  $T + \epsilon \theta$  and pressure  $P = 0 + \epsilon p$ .

1. [1pt] In the case of constant temperature, what theorem justifies ignoring the transverse direction to determine the stability conditions of this flow?
2. [1pt] Show that the linearized equations write

$$\begin{aligned} (-i\omega + ikU)u + \frac{dU}{dz}v &= -ik\frac{p}{\rho_0}, \\ (-i\omega + ikU)v &= -\frac{1}{\rho_0}\frac{dp}{dz} + \frac{\beta g}{\rho_0}\theta, \\ (-i\omega + ikU)\theta + \frac{dT}{dz}v &= 0, \\ \frac{dv}{dz} + iku &= 0. \end{aligned}$$

3. [1pt] What is the name of this last equation? What does it physically express?
4. [1pt] What is the name of the hypothesis which justifies its use while the density of the flow is not constant?
5. [1pt] We next assume that the base temperature profile a growing function of  $z$  i.e.  $dT/dz = T' > 0$ . Is the flow stably stratified? Do you expect any Rayleigh-Bénard instability to happen?
6. [3pts] We note  $U'' = d^2U/dz^2$  and denote the derivation operator with respect to  $z$  by  $D$ . Define and interpret a classical auxiliary variable  $\psi(z)\exp(i(kx - \omega t))$  to obtain the so-called Taylor-Goldstein equation

$$(-i\omega + ikU)(D^2 - k^2)\psi - ikU''\psi = \frac{k^2 N^2}{-i\omega + ikU}\psi$$

where  $N$  is the so-called Brunt-Väisälä frequency  $N^2 = \frac{\beta g T'}{\rho_0}$ .

7. [1pt] Check that, from a dimensional analysis point of view,  $N$  is indeed a frequency. To what limit equation discussed in class does the Taylor-Goldstein equation simplify in absence of stratification when  $N = 0$  ?
8. [1pt] Is this a classical or a polynomial eigenvalue problem?
9. [1pt] Separating the complex frequency in its real and imaginary part ( $\omega = \omega_r + i\omega_i$ ), remind the condition for linear instability.
10. [3pts] Using a similar technique as that used in class, show that a necessary condition for the flow to be unstable is that there exist  $z \in ]z_a; z_b[$  such that

$$U'' \leq \frac{2(-\omega_r + kU)kN^2}{\omega_i^2 + (-\omega_r + kU)^2}.$$

N.B. As an intermediate step, you might first divide the Taylor-Goldstein equation by  $(-i\omega + ikU)$ , remember that  $|\psi|^2 = \psi^* \psi$  (where  $*$  denotes complex conjugation) and that for any complex number  $a = a_r + ia_i$ ,  $1/a = \frac{a_r - ia_i}{a_r^2 + a_i^2}$ . Why is that NOT a predictive criterion?

11. [2pts] BEWARE, this is a calculus-intensive question. Consider now the new variable

$$\chi = \frac{\psi}{\sqrt{-i\omega + ikU}}$$

Show that the Taylor-Goldstein equation becomes

$$D[(-i\omega + ikU)D\chi] + \left[ k^2 \frac{U'^2/4 - N^2}{-i\omega + ikU} - \frac{ikU''}{2} - k^2(-i\omega + ikU) \right] \chi = 0$$

12. [3pts] By multiplying by  $\chi^*$  and integrating, show that a necessary conditions for the instability is given in terms of the Richardson number  $Ri$  is that  $\exists y \in ]z_a; z_b[$  such that

$$Ri = \frac{N(z)^2}{U'(z)^2} < \frac{1}{4}.$$

13. [1pt] What is the physical meaning behind this criterion? Is the temperature gradient stabilizing or destabilizing?

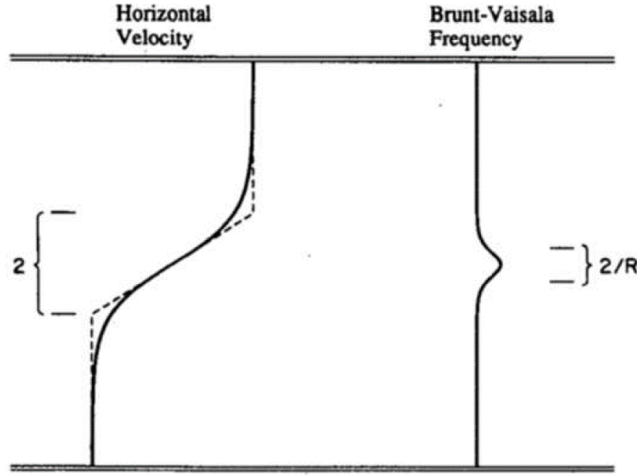


Figure 1: Solid curves represent the profile of velocity and background stratification for  $R = 3$

14. [1pt] The dispersion relation of a velocity and temperature distribution represented in figure 1 (by its associated Brunt-Väisälä frequency  $N^2$ ) have been computed by Smyth and Peltier in 1989. They have considered a localized temperature gradient of extension  $R$ , yielding

$$N^2(z) = J(1 - \tanh^2(Rz)),$$

where  $J > 0$ , while the velocity was chosen as

$$U(z) = \tanh(z).$$

Looking at the profile of  $N^2$ , comment on the stably or unstably stratified nature of the flow. Sketch a representative temperature distribution.

15. [1pt] The authors have used a numerical discretization method to determine the eigenvalues of the Taylor-Goldstein equation. With a central second order differential finite difference scheme and  $N + 1$  points regularly spanning the interval  $[z_a; z_b]$ , how many interior points are there and how many eigenvalues are expected?
16. [1pt] We first consider  $R = 1$ ,  $Ri(z)$  is depicted in figure 2a for several values of  $J$ . Remembering the definition of the Richardson number  $Ri(z)$ , what is the value of its minimum  $Ri_{\min}$  as a function of  $J$ ? Note that  $\tanh'(z) = 1 - \tanh^2(z)$ .

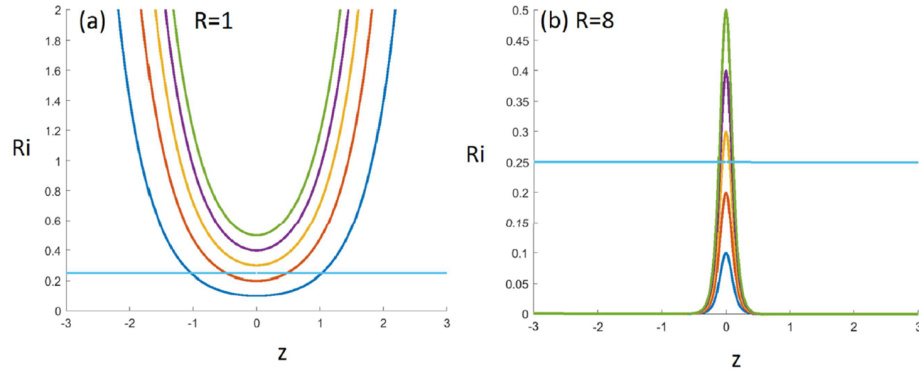


Figure 2: Richardson number  $Ri(z)$  as a function of  $z$  for  $J = 0.1$  (blue),  $\dots$ ,  $0.5$  (green) for  $R = 1$  (a) and  $R = 8$  (b). The 1/4-limit is also depicted.

17. [1pt] The isocontours of the growth-rate of the most unstable eigenvalues are depicted in figure 3a in the  $k - J$  plane. Is the previously obtained necessary condition for instability violated?
18. [1pt] For  $R = 8$ ,  $Ri(z)$  is depicted in figure 2b for several values of  $J$ . For which values of  $J$  do you expect the flow to be unstable? The dominant eigenvalues have been computed by Smyth and Peltier (1989) in figure 4 for  $R = 8$  and  $J = 0.2, \dots, 0.8$ . Do these results confirm your previous answer?
19. [1pt] Figure 3a refers to the Kelvin-Helmholtz instability. What is the consequence of increasing the strength of the stratification on its growing rates? Propose a physical interpretation.  
In figure 4b, the two unstable branches associated to the Kelvin-Helmholtz instability switch to the so-called Holmboe instability for  $k \gtrsim 0.25$ . What is the consequence of increasing the strength of the stratification on Holmboe's growing rates? And on its most unstable wavenumber? Comparing figure 3a and 3b, what seems to be a necessary ingredient for an Holmboe instability to occur?

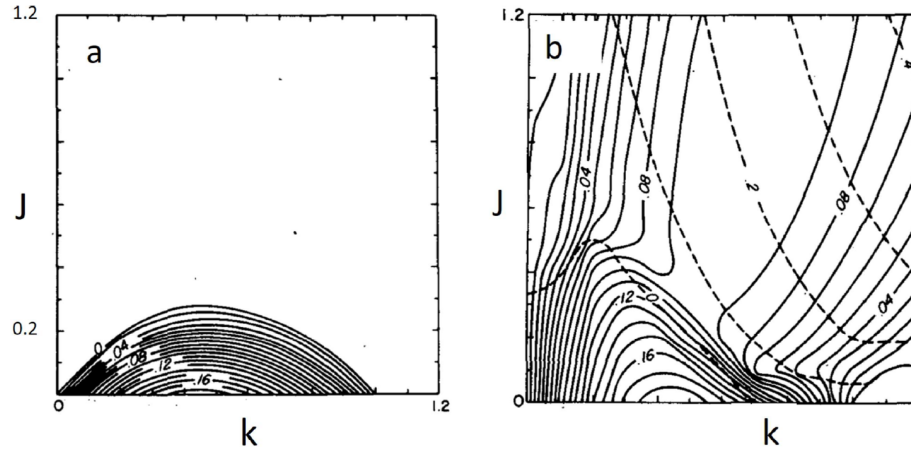


Figure 3: Isocontours of the growth-rate (full line) and frequency (dashed line) of the most unstable eigenvalues for  $R = 1$  (a) and  $R = 8$  (b).

20. [2pts] Consider the frequency curves on figure 4 (no dashed line means  $\omega_r = 0$ ). From visual inspection only, can you predict if the Kelvin-Helmoltz instability will be convective or absolute? Why? Same questions for Holmboe instabilities.

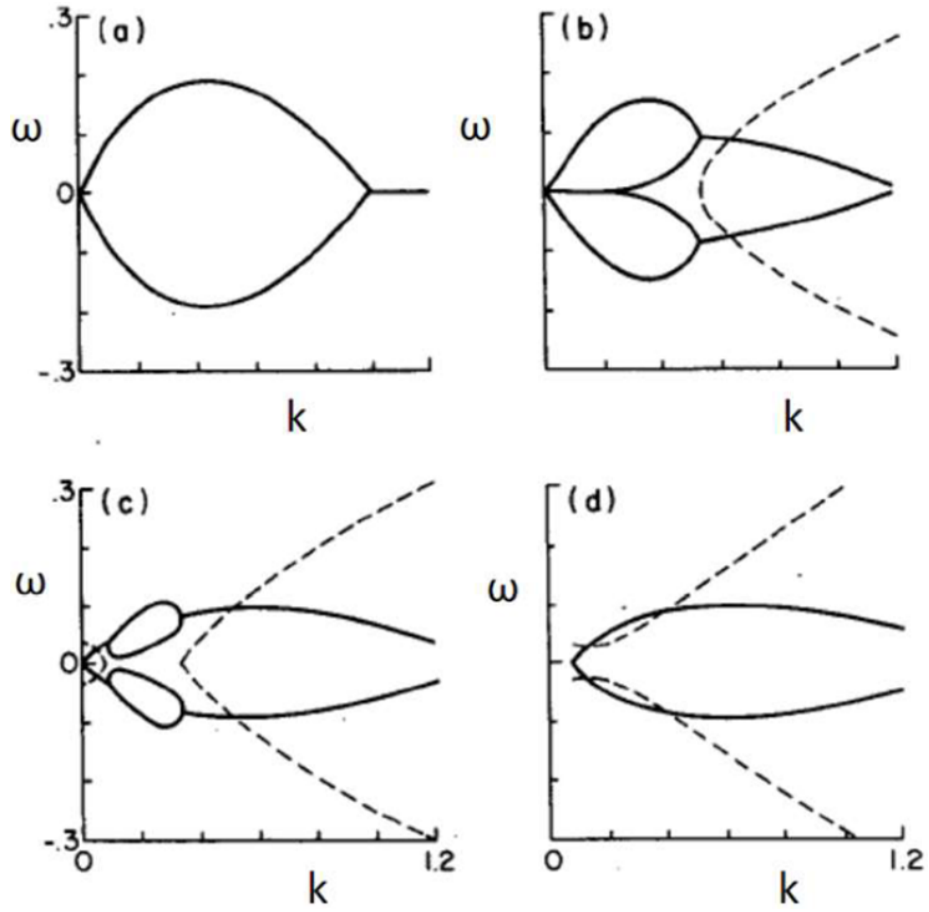


Figure 4: Growth-rate (full line) and frequency (dashed line) of the dominant unstable modes for  $R = 8$  and different values of  $J = 0.2, 0.4, 0.6$  and  $0.8$ .